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 Application Number
 10/615,572

 Filing Date
 July 8, 2003

 First Named Inventor
 Robert R. HUNTER

 Art Unit
 2857

 Examiner Name
 Carol S. W. TSAI

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number TREND-00101

41			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ^{2 of known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
US		^{US-} 6,574,104-B2	06-03-2003	Patel et al.	
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	FOREIGN PATENT DOCUMENTS										
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages						
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for fo	rm 14498/PTO				Complete if Known	
				Application Number	10/615,572	
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STATE	MENT BY	APPLI	CANT	First Named Inventor	Robert R. HUNT	ER
				Art Unit	2857	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
08		Residential energy management tool from Invensys designed to help reduce demand, need for new generation, Only the first page of this article was available for download, [online] Retrieved from the internet: <url:http: amrreport.pdf="" news="" pdf="" www.invensysnetworks.com="">, Appearing on the document is the date of March 14, 2002.</url:http:>	
4		Comcast, Invensys join PECO in demand-side management trial, Only the first page of this article was available for download, (online) Retrieved from the internet: <url:http: file_display_freesummary.cfm?id="1177&freesummary=1&app_id=21" www.energylibrary.com="">, Appearing on the document is the date of September, 2003.</url:http:>	*********
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	Substitute for the formation of the form		Application Number	10/615572				
	INFOR		ı Dic	CLOCUPE	Filing Date	08 June 2003		
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Robert R. Hunter		
					Art Unit	2851.		
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	Sheet 1		of	3	Attorney Docket Number	TREND-00101		

Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (/lacom)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1		MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant
	<u> </u>	Number-Kind Code ² (F & sount)			Figures Appear
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	1	US- 5,495,239	02-27-1996	Ouellette	

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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Translation is attached.

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			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
118		Number-Kind Code ² (f knows)			7 30.00 7 77
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		^{US-} 4,987,363	01-1991	Gibbs et al	
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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/615,572 Filing Date TRANSMITTAL July 8, 2003 First Named Inventor **FORM** Robert R. Hunter Art Unit 2857 Examiner Name Carol S. TSAI (to be used for all correspondence after initial filing) Attorney Docket Number 375283-00102 (352712) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Change of Correspondence Address Status Letter Affidavits/declaration(s) Other Enclosure(s) (please Identify **Terminal Disclaimer Extension of Time Request** below): 11 references as cited in the 1449 form Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement - including 1449 form Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Dechert LLP, P.O. Box 10004, Palo Alto, CA 94303-0961

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Sheet 4

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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 Application Number
 10/615,572

 Filing Date
 July 8, 2003

 First Named Inventor
 Robert R. Hunter

 Art Unit
 2857

 Examiner Name
 Carol S. TSAI

 Attorney Docket Number
 375283-00102 (352712)

Examiner Initials*	Cite No.'	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear	
14	AA	^{US-} 4,216,384	08-1980	HURLEY, Frederick A.		
1	AB	^{US-} 5,721,659	02-1998	YOUNG, Danny J.		
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